



FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 10/080,502	CASE NO. 10466/286 1614- 605
	FILING DATE February 22, 2002	GROUP ART UNIT
	APPLICANT(S): Jeffrey Cleland et al.	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS
A	A1 4,297,344	10/27/1981	Schwinn et al.	—
	A2 4,938,763	7/3/1990	Dunn et al.	—
	A3 4,975,271	12/4/1990	Dunn et al.	—
	A4 5,019,400	5/28/1991	Gombotz et al.	—
	A5 5,077,049	12/31/1991	Dunn et al.	—
	A6 5,096,885	3/17/1992	Pearlman et al.	—
	A7 5,133,981	7/28/1992	Harkrader et al.	—
	A8 5,242,910	9/7/1993	Damanj	—
	A9 5,278,201	1/11/1994	Dunn et al.	—
	A10 5,278,202	1/11/1994	Dunn et al.	—
	A11 5,324,519	6/28/1994	Dunn et al.	—
	A12 5,324,520	6/28/1994	Dunn et al.	—
	A13 5,340,849	8/23/1994	Dunn et al.	—
	A14 5,368,859	11/29/1994	Dunn et al.	—
	A15 5,487,897	1/30/1996	Polson et al.	—
	A16 5,556,905	9/17/1996	Frappier et al.	—
	A17 5,589,167	12/31/1996	Cleland et al.	—
	A18 5,591,713	1/7/1997	Igari et al.	—
	A19 5,599,552	2/4/1997	Dunn et al.	—
	A20 5,651,986	7/29/1997	Brem et al.	—
	A21 5,665,394	9/9/1997	Igari et al.	—
	A22 5,747,058	5/5/1998	Tipton et al.	—
	A23 5,780,044	7/14/1998	Yewey et al.	—
	A24 5,783,205	7/21/1998	Berggren et al.	—
	A25 5,912,015	6/15/1999	Bernstein et al.	—
	A26 5,945,115	8/31/1999	Dunn et al.	—
	A27 5,972,370	10/26/1999	Eckenhoff et al.	—
	A28 6,039,977	3/21/2000	Venkatraman et al.	—
	A29 6,051,259	4/18/2000	Johnson et al.	—
A	A30 6,066,619	5/23/2000	Stevenson et al.	—

EXAMINER	DATE CONSIDERED
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FORM PTO-1449

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SERIAL NO.

10/080,502

CASE NO.

10466/286

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

(use several sheets if necessary)

FILING DATE

February 22, 2002

GROUP ART UNIT

1614
1615

APPLICANT(S): Jeffrey Cleland et al.

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
CJ	A31	6,080,429	6/27/2000	Cleland et al.	
	A32	6,087,324	7/11/2000	Igari et al.	
	A33	6,110,503	8/29/2000	Rickey et al.	
	A34	6,113,943	9/5/2000	Okada et al.	
	A35	6,113,947	9/5/2000	Cleland et al.	
	A36	6,120,789	9/19/2000	Dunn	
	A37	6,124,261	9/26/2000	Stevenson et al.	
	A38	6,130,200	10/10/2000	Brodbeck et al.	
	A39	6,143,314	11/7/2000	Chandrashekhar et al.	
	A40	6,146,662	11/14/2000	Jao et al.	
	A41	6,165,508	12/26/2000	Tracy et al.	
	A42	6,174,547 B1	1/16/2001	Dong et al.	
	A43	6,190,700 B1	2/20/2001	Okada et al.	
CJ	A44	6,191,107 B1	2/20/2001	Yamagata et al.	
	A45	6,197,350 B1	3/6/2001	Yamagata et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
CJ	EP 0 216 485 A1	4/01/1987	Europe EPO		
	WO 89/03671	5/5/1989	PCT WIPO		Abstract only
	WO 92/17200	10/15/1992	PCT WIPO		
	EP 0 484 387 B1	1/19/1994	Europe EPO		
	MX 173182 A	7/2/1994	Mexico		
	WO 98/27962 A2	7/2/1998	PCT WIPO		
	WO 98/27963 A2	7/2/1998	PCT WIPO		
CJ	WO 00/78335 A1	12/28/2000	PCT WIPO		

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Page 3 of 4

FORM PTO-1449 <i>PTO</i> LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 10/080,502 FILING DATE February 22, 2002 APPLICANT(S): Jeffrey Cleland et al.	CASE NO. 10466/286 GROUP ART UNIT 1614- 1615
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>CH</i>	A54	Arakawa et al., "Protein-Solvent Interactions in Pharmaceutical Formulations", <i>Pharmaceutical Research</i> , Vol. 8, No. 3 (1991) 285-291.
	A55	Gray et al., "Cloning of human tumor necrosis factor (TNF) receptor cDNA and expression of recombinant soluble TNF-binding protein", <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 87 (1990) 7380-7384.
	A56	Johnson et al., "A month-long effect from a single injection of microencapsulated human growth hormone", <i>Nature Medicine</i> , Vol. 2, No. 7 (1996) 795-799.
	A57	Kita et al., "Contribution of the Surface Free Energy Perturbation to Protein-Solvent Interactions", <i>Biochemistry</i> , Vol. 33 (1994) 15178-15189.
	A58	Kohno et al., "A second tumor necrosis factor receptor gene product can shed a naturally occurring tumor necrosis factor inhibitor", <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 87 (1990) 8331-8335.
	A59	Lai et al., "Metal and RNA Binding Properties of the hdm2 RING Finger Domain", <i>Biochemistry</i> , Vol. 37, No. 48 (1998) 17005-17015.
	A60	Lin et al., "On the role of surface tension in the stabilization of globular proteins", <i>Protein Science</i> , Vol. 5 (1996) 372-381.
	A61	Maa et al., "Spray-Drying of Air-Liquid Interface Sensitive Recombinant Human Growth Hormone", <i>Journal of Pharmaceutical Sciences</i> , Vol. 87, No. 2 (1998) 152-159.
	A62	Sackett et al., "Multivalent Cations Depress Ligand Binding to Cell-Associated Insulin-Like Growth Factor Binding Protein-5 on Human Glioblastoma Cells", <i>Endocrinology</i> , Vol. 139, No. 4 (1998) 1943-1951.
	A63	Smith et al., "A Novel Parenteral Delivery System", <i>Pharmaceutical Research</i> , PDD 7270, Vol. 13, No. 3 (1996) 1 page.
	A64	Timasheff, S.N., "The Control of Protein Stability and Association by Weak Interactions with Water: How Do Solvents Affect These Processes?" <i>Annu. Rev. Biophys. Biomol. Struct.</i> , Vol. 22 (1993) 67-97.
	A65	Timasheff, S.N., "Control of Protein Stability and Reactions by Weakly Interacting Cosolvents: The Simplicity of the Complicated", <i>Advances in Protein Chemistry</i> , Vol. 51 (1998) 355-432.
<i>CH</i>	A66	Timasheff, S.N., "Solvent Stabilization of Protein Structure", <i>Methods in Molecular Biology</i> , Vol. 40 (1995) 253-269.

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FORM PTO-1449	SERIAL NO.: 10/080,502	DOCKET NO. 09800080-0027 Genentech: PR1868
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		FILING DATE February 22, 2002
		GROUP ART UNIT <i>1614 1615</i>
		APPLICANT(S): Jeffrey L. Cleland, et al.

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE	

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
<i>CH</i>	A1 WO 97/26015	24-07-97	WO	A61K 47/44	X	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	

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